IV. Contemplative Intention:  
    John, Dōgen and the Actualization of Will

A warrior learns to tune his will....
It is...the road to the nagual.

Castaneda's don Juan
The words "attention" and "intention" both stem from the Latin *tendere* meaning "to stretch." Given the foregoing discussion we might say that non-discursive attention is a stretching of the human will toward the Absolute within the intramental confines of personal consciousness. Yet though a stretching, it is not a straining. In John and Dōgen we come to understand that the effort involved in keeping the attention focused and consciousness clear is paradoxically balanced by a rest, a peace, a letting go, a letting be, a surrender. Within one and the same will-as-attention there thus seems to be a dialectical complementarity between the effortful and effortless will, between the will-to-attain and the will-to-receive, between the will as struggle and the will as submission.

This same dialectical balance is found when we move from the circumscribed realm of attention to the more generalized realm of intention. Here the stretching of the human will toward its source is seen in a larger frame. We see that the contemplative gesture of non-discursive attention is embedded in an intentionality of the heart which spreads itself over the cognitive, conative and emotive network. And yet, characteristic of contemplative intentionality, there is along with the yearning for the *ought*, a deep affirmation of the *is*, along with activity, a corresponding receptivity.
1. JOHN: THE WILL AS FAITH, HOPE AND CARITAS

John's journey proceeds as an "emptying of the soul of all that is not God." The soul, as we have seen, is composed of "spiritual" and "sensory" parts. The emptying of the latter is the work of traditional ascesis; the emptying of the former is the work of non-discursive contemplation.

John amplifies his explanation of the emptying of the "spiritual part of the soul" by further dividing it into its three constitutive functions, intellect, memory and will. For the transformative work to proceed, each of these functions must be emptied. In their phenomenal state, intelligizing, remembering and willing are "beclouded" and "impure;" they lack the ability to relate themselves to their unitive, divine ground. Their objects are multiple, ego-borne, self-referential, idolatrous. Intellect, memory and will lack a unifying intentionality toward God. Through the non-discursive attention of contemplation, an attitude of dis-identification with the soul's objects, John wishes to empty or purify the soul's three functions of their vagaries. Thus, he directly equates the emptying of the intellect, memory and will with growth in the theological virtues, faith, hope and caritas. In the Ascent, he writes:

As we outlined for the sensory night a method of emptying the sense faculties of desire for their objects...so for this spiritual night we will present a method of emptying and purifying the spiritual
faculties of all that is not God. By this method these faculties [intellect, memory and will] can abide in the darkness of these three virtues [faith, hope and caritas] which are the means and preparation for the soul's union with God (A2,6,6,).

Sometimes, John speaks of the virtues not as a result of the apophatic process but as its cause:

Faith darkens and empties the intellect of all its natural understanding.... Hope empties and withdraws the memory from all creature possessions.... Charity also empties...the will of whatever is not God and centers them on Him alone.... Because these virtues have the function of withdrawing the soul from all that is less than God, they consequently have the mission of joining it with God (N2,21,11; cf. A2,6,2).

John's equation--emptying of the (self-aggrandizing) intellectual functions = growth in the (self-transcending) theological virtues--is didactically effective. Through it John is able to place his systematic emphasis on contemplative emptying (quite new to the Catholic theological tradition) within a traditional theological/psychological context. Yet we should not let the facility of this device and its oversimple faculty-psychology blind us to the subtlety of John's psychological understanding. For the field described by

phenomenal intellect \(\rightarrow\) intellect imbued with faith

phenomenal memory \(\rightarrow\) memory permeated by hope

phenomenal will \(\rightarrow\) will become caritas
is indeed the entire field of human consciousness. This
diagram does not describe a simple switch from "off" to "on"
but the boundaries of a vast transformative project. John
means to tell us that as the psychotransformative work pro-
ceeds, all the interdependent powers of the soul are re-
vitalized and newly constellated. The structure of con-
sciousness, its general "trait" or "mode" is open to a
virtually infinite spectrum of transformation. John's only
tool for expressing the spectrum is the rather cumbersome
distinction between ordinary, misdirected intellectual
functions and the theological virtues. "Faith," "hope"
and "caritas" refer to the powers of intellect, memory and
will as the latter's egocentric and centrifugal valences are
gradually transformed into theocentric and centripetal ones.

Faith, hope and caritas are not three praxes, but
three descriptive nuances for consciousness undergoing
transformation under a single praxis: contemplation. When
John is asked how one practices faith, hope and charity in
their purity he does not have three answers. He has one:
non-discursive contemplation:

If the spiritual person directs his intellect
in faith according to the doctrine given him
[i.e. imageless, non-discursive contemplation]
it is impossible for him not to instruct his
other two faculties simultaneously in the
other two virtues. For these faculties de-
depend on one another in their operations
(A3,1,1).
...And the conduct required of the memory and the intellect... is also necessary for the will. Since the intellect and other faculties cannot admit or deny anything without the intervention of the will, the same doctrine that serves for the one faculty will evidently apply to the others also (A3, 34, 1).

Though faith, hope and caritas may, at the beginning, have distinct objects, they begin to melt into a single intention as the objectless, apophatic work proceeds. Contemplation renders each more subtle, less prone to attach themselves to phenomenal supports. At the outset, faith, we may surmise, is mere belief in propositions; hope is a form of spiritual materialism, a clinging to some future destiny; and, charity, perhaps, is an act of the ego to "do good" under pressure from the superego. But as the journey proceeds this changes. The cataphatically-toned and utilitarian functions of faith in something, hope for something and care for beings and things are transformed into apophatically-toned, ontological virtues of pure faith, pure hope and pure charity. In their purity, their objectlessness, "faith," "hope" and "caritas" become a single attitude of spiritual nakedness and radical openness toward the activity of God.

The transformative process here being described may be simplified in the terminology of "will"—even though the latter involves a paradox. Kant came to teach Western man as no man before him may be viewed as having taught that
ordinary consciousness is willful, interpretive and manipulative. We see the world through colored lenses. Freud and the science he spawned seem to have added a psychological dimension by describing that willfulness as fundamentally egocentric and narcissistic. Translating into religious terms, one might say that phenomenal consciousness is naturally idolatrous. The entirety of the contemplative gesture in John and Dōgen, and perhaps in all its instances, may be understood as an effort to purify consciousness of its ordinary willfulness. One of John's synonyms for the contemplative opus is, in fact, the "emptying of the will."
The willfulness of ordinary consciousness is emptied, however—and here is the paradox—only through acts of will. What keeps the activity of contemplative willing from simply being an aggravation of the willfulness of phenomenal consciousness is its linkage to the transpersonal Will.
For John, as for the majority of Christian mystics, the ultimate motif of contemplative transformation is the conformation of the human will to the will of God. Yet the purity of this linkage is constantly challenged by the soul's habitual reflex to identify with and attach itself to phenomenal supports:

Those who not only pay heed to these imaginative apprehensions, but think God resembles some of them and that one can journey to union with God through them, are already in great error and will gradually lose the light of faith in their intellects (A3,12,3).
Aware of this tendency, John resorts as always to the emptying posture of non-discursive contemplation. In willing to attend to no-object, the idolatrous willfulness of intellect, memory and will (i.e., the functions of consciousness) become "emptied"—transformed into the receptive willlessness of faith, hope and caritas:

And it is by means of faith that the intellect is united with God.... This union is effected by disuniting oneself from everything imaginative (A3,12,3; cf. A2,28,1).

...In the measure that a person dispossesses his memory of forms and objects, which are not God, he will fix it upon God and preserve it empty, in the hope that God will fill it.

John adds that "hope always pertains to the unpossessed (and unpossessable) object" (A2,6,3). His ontological hope is an intending of his entire being toward God as present. It is a waiting, open quality which grounds intentionality in that present. Though objectively distinct, hope and faith fuse indissolubly in the psychological subject: the unknowing openness of the faith is joined by the present-rootedness of the hope. Finally,

we would achieve nothing by purging the intellect and memory in order to ground them in the virtues of faith and hope if we neglected the purification of the will through charity (A3,16,1).
Even the soul's fierce longing for God (John often warns of spiritual gluttony) must be emptied into a profound acceptance. In the gesture of submission, the contemplative act-of-will achieves its greatest willlessness. The unknowing of faith and the present-centeredness of hope are thus joined by a pure intentionality of surrender. For John, the language of attainment of these three virtues is a way of pointing to a single profound transformation of consciousness. They mark a "turning about at the seat of the mind," a turning about which re-turns the human will to its ontological Source.

Faith, hope and caritas thus seem to be interdependent aspects in the actualization of a single, unified intentionality toward God. The spiritual freedom (A3,5,3; A3,26,6; A3,18,8; A3,19,5; N2,7,4; N2,9,1; A1,4,6; C35,2-3) won through consciousness' gradual coursing in objectlessness seem to confer on that intentionality deeper and deeper degrees of nakedness. In its fully realized condition, this pure intention seems to be a personal will whose chief characteristic is, nevertheless, its letting-be--its 'rest' within a transpersonal Will. Here, and perhaps for the first time, the human will knows itself authentically, for here it is no longer deluded by the seeming reality of the self.

Though John expounds upon all three of the virtues, it is clear that he has a favorite. If he were to pick just one to stand for all three and to mark the quality of a
consciousness in transformation, thus intending, thus attending, it would be faith—empty, receptive, objectless, grasping no-thing.
2. Dōgen: The Will as Faith, Determination, Doubt and Karuna

Contemplative forms of Buddhism are often contrasted with its theistic forms and with other theistic religions in terms of the former's disregard for faith. This stems, we suggest, from either a too limited conception of faith or a misunderstanding of those contemplative forms. If faith is taken merely as an intellectual affirmation of unverifiable propositions (for which the term "belief" should now be reserved; "now" because Wilfred Smith [1979] has shown that, whereas belief originally meant more than knowledge—knowledge plus the resolve to act upon it—it now means less than knowledge), then it is true that Buddhist contemplatives have little interest in faith. But if faith is understood existentially as a predisposition of the heart, a deeply felt conviction of the truth of the Buddha's teaching that invites still deeper understanding, an intending of the conditioned self toward the Unconditioned Real, then to propose that contemplative Buddhism is antagonistic to such faith is crudely false. Here we shall test this thesis on Dōgen only. Dōgen, we shall argue, sees faith not only as a necessary predisposition for the journey to self-awakening but also as the very substance of that awakening.

The word "faith" in English translations of Dōgen's works is a translation of either the Japanese shin 信, or shinkō 信仰, or of their Chinese originals. Dōgen, of course, got the language and the idea from the Chinese
who in turn were doing their best to translate the original Sanskrit. The original Sanskrit is sraddha and in the Samyutta Nikaya (V, 199-200), faith (Pali, saddha; Sanskrit, sraddha) is presented as the first of the five cardinal virtues of the Buddhist path (the other four being vigour, mindfulness, concentration and wisdom). Faith is called the "seed" without which the plant of spiritual insight cannot begin to grow (Samyutta Nikaya: 76, 181).

The Sanskrit sraddha is composed of srat (heart) and dha (to place or establish). It is thus a setting of the heart upon something—or better, the experience of finding one's heart established in a conviction of the truth (of the Buddha's teaching). "Heart" points perhaps to that hara-like place within us where the ordinary distinctions between will and intellect and mind and body melt in a superior fusion. Until one can abide in this heart, a radiating, hub-like center, one is condemned to live along the spokes and their oppositions. Buddhist sraddha, in any case, suggests an all-pervading unification of intention toward the Noble Path.

Dōgen scholar, Francis Dōjun Cook, finds the root srat interesting for another reason, namely its relation to sat, meaning real or true. Taking advantage of the semantic flexibility of srat and dha (evidenced by their longish entries in the Monier-Williams Sanskrit dictionary), Cook reads sraddha as "to possess the true" or "to generate the true". "In both scriptural and commentarial literature,"
he says, "it [sraddha] denotes a degree of spiritual development in which one has come into possession of the true and/or real in the sense that the real and true is an experienced fact" (personal correspondence).

Narada Thera complements and amplifies these notions of sraddha with his own summary of its meaning in the Pali Canon:

Saddha is well-established confidence in the Buddha, Dhamma and the Sangha. Purification of its mental associates is its chief characteristic. It is compared to the water-purifying gem of the universal monarch (which) when thrown into water, causes mud and water-weeds to subside. The water is consequently purified. In the same way Saddha purifies the minds of its stains (101).

Narada is saying that faith, like meditation itself, is psychologically potent. It purifies its "mental associates." Faith not only makes contemplative practice possible, it contributes to its psychotransformative power.

The esteem given to faith by the Buddhist tradition did not disappear during its sojourn in China. Perhaps its most striking example is Fa-tsang's Hua-yen i ch'eng chiao i fen-ch'i chang, wherein are discussed the 52 stages of the Bodhisattva career leading up to Buddhahood. Only stages 51 and 52 are de facto Buddhahood, that is, samyak sambodhi. This fact lends importance to another, namely, that the first ten stages are grouped under the rubric of "faith" 信. For Fa-tsang, "faith" is already a kind of Buddhahood. What Fa-tsang inherited and lent systematic order to was the
earlier Indian and Chinese Mahayanist sense that faith, far from being a mere provisional trust in doctrines which awaits later validation, is already prajna-wisdom itself in an early stage of its unfolding. The awakening of faith is a degree of the same insight or understanding which when ripe will be full Buddhahood.

Cook has also reminded us that the Chinese greatly radicalized the Indian Buddhist doctrine of the tathagatha-garbha. If the fertile Void of Buddha-mind was ontologically prior to the individual self, a sect like Ch'an reasoned, then who is it that has faith, who is it that attains enlightenment? Ch'an taught that the arising of such things as faith, meditation practices and so forth are the activities of the seed-potential within each person. Cook interprets that "it is the Buddha we have (are) in the form of a seed-potential which has faith, begins to do zazen and so on. Faith, then, is of the nature of wisdom or understanding because it is in fact the first appearance in our life of this innate Buddhahood" (personal correspondence).

Dōgen not only shares such an attitude, he brings it to its highest pitch. Dōgen was an indefatigable proponent of zazen, the discipline through which the Zen aspirant comes to realize his True Nature. Exhortations to zazen are one of the most prominent aspects of his writings. Yet for Dōgen the vaunted jiriki or self-power of Zen was closely knit to an underlying attitude of faith. The concept of faith belongs to the basic tenets of his teaching and, says
Dōgen scholar Hee Jin Kim, "zazen-only cannot be fully understood apart from the consideration of faith--the element fundamentally important in Dōgen's thought" (Kim, 1975: 80). He elaborates:

Dōgen does not imply that faith precedes enlightenment or is eventually replaced by enlightenment. Throughout the ongoing advance in enlightenment (bukkōjōji) faith and enlightenment, believing and seeing are twin companions of emptiness and the Buddha-nature. ...For Dōgen, faith lies in original enlightenment, enlightenment comes from original faith (81).

"Original enlightenment" refers to the Zen view that ab illud tempore, in our very nature, we are perfectly awake and enlightened. The Buddha-nature and our own nature are non-different. It is because our intelligence thus participates in its Source that faith, as a motive power of being, can arise: "Faith lies in original enlightenment."

And it is the intentionality of faith--a profound receptivity, an emptiness of the grasping self before Reality, and thus the perfect existential reflection of zazen--that helps us to overcome our ignorance of the fact of original enlightenment: "Enlightenment comes from original faith."

The similarity to John of the Cross is most striking. In John, the kenotic attitude of pure and dark faith accompanies and reinforces the practice of imageless contemplation so that together the two are able to overcome existential
separation from God. Yet it is our given, ontic union with
God which predisposes us both to the awakening of faith and
the practice of self-emptiness. In Dōgen, original, primor-
dial enlightenment makes faith possible. Faith, polished to
adamantine lustre in zazen, is the "substance" of enlighten-
ment.

All beings are Buddha, says Dōgen. And practice and
enlightenment are identical. These two basic ideas of Dōgen
shed light on his conviction that faith is the beginning and
end of Buddhist practice. Because practice and enlighten-
ment are identical, Dōgen says that practice is not to be
thought of as instrumental, as something done in order to
achieve the ultimate goal. Many, it seems, have wrongly
taken this as Dōgen's denial that there is cultivation,
transformation and progress in Zen practice. In fact, it
is Dōgen's typically Zen warning to guard against the kind
of mind that becomes egoically attached to its own progress.
The Hindu proverb, "Act without seeking the fruits of action,"
is not a denial of action's fruits but a caveat addressed to
the seeker and the quality of his attitude. Zazen, and Zen
practice in general, does effect transformation, does con-
tribute to the realization of innate Buddhahood. But to
practice with attachment to results is self-defeating. Zen
practice must be carried out with an empty will.

Dōgen evokes empty willing in his hearers by asking
them to practice in full conviction that the practice it-
self is Buddha-activity, the actualization of the Buddha
that one already is and will 'more fully' be. Writes Cook:

Faith marks the beginning of the Buddhist practice because at that point there is a conviction concerning the truth of the Buddha's teaching. However, though practice progresses, it is to be understood as a greater actualization of Buddha-nature (one inch of zazen, one inch of Buddhahood) and increasing certitude with regard to the veracity of the Dharma. One never goes beyond faith, in a sense, though a partial understanding is supplanted by a deeper understanding (personal correspondence).

One cannot overstate the profound harmony that exists between Dōgen's existential faith and that of John and other Christian contemplatives. According to Augustine, John's mentor in faith, God tells the searching heart, "You would not seek me had you not already found me." The practical import of both of Dōgen's ideas--innate Buddhahood and the identity of practice and enlightenment--are present here. The Christian contemplative seeks because God is already within him/her. All beings are Buddha-nature. Prayer is the realization of right relationship to God-activity, as zazen is the realization of right relationship to Buddha-activity. Each is an end in itself. They are also means to deeper insight into the primordially existent situation. The entire armory of Zen paradox is directed against the false interpretation of this activity as one of a self making progress. The Christian doctrines of the supremacy of God's grace and the error of pride serve an identical psychological purpose.
Dōgen's pronouncements on faith vary in significance. As Abbot of trainees and Patriarch for Buddhist believers, he affirms and extols Buddhist beliefs as necessary to the cultivation of religious life. As mystic and Zen master he abandons conventional truth to speak, insofar as it is possible, from the standpoint of the Absolute. The following quotations are offered to suggest this exoteric/esoteric range in Dōgen's utterances on faith:

He who venerates [the Buddha] with a believing heart will surely be blessed.... For according to the Dharma left us by the Perfected One, Buddha image, sutra and monk contribute to the blessedness of both men and gods. He who respects them will assuredly be blessed, and he who lacks faith commits a transgression (Shōbōgenzō Juundōshiki, in Dumoulin, 1963:171).

It is imperative for those who practice the Way to believe in it. Those who have faith in the Way should know for certain that they are unfailingly in the Way from the very beginning... (Gakudōyōjinshu, in Kim, 1975:81).

The spiritual realm of the Buddhas is totally incomprehensible. It is not reached by the workings of the mind; still less can it be known by a man of disbelief or inferior intelligence. Only a person of great capacity based on right faith is able to enter here (Bendōwa, Waddell and Abe, May 1971:138).

And, quite remarkably:

...while both your mind and your flesh may be at times in idleness or unbelief, confess it in utter simplicity to the Buddhas who come before you. This merit will richly nurture undefiled faith and spiritual
endeavor, which are unobstructed. As pure faith is realized, both the self and the others will be changed, and the sentient and the unsentient shall enjoy its efficacy far and wide (Shōbōgenzō Keiseisanshōku in Kim, 1975:81).

Nowhere else in Zen literature and rarely even in theistic literature is faith's power so highly extolled! Dōgen's utterance attributes to faith (rare though this particular species is) a power usually reserved solely for enlightenment, namely, a power which radiates from a personal locus to suffuse everything—the sentient as well as the unsentient.

In the following passage, Dōgen guards against the misunderstanding of faith as a superficial movement of the ego-will. The ontological primacy of the former cannot be the product of the derivative reality of the latter. Though the will-as-faith must seem to begin as a partial straining of one part of the personality against another, it discovers its own authenticity only when it suffuses the totality of man's mind-body. As such, it carries enormous psychotransformative power, coextensive with enlightenment:

The virtue of faith is engendered neither by the self nor by others. Because it is [generated] not by forcing oneself, by one's contrivances, by being coerced by others, or by fitting the self-made norm, faith has been imparted intimately through patriarchs in India and China. Faith is so called when the entire body becomes faith itself (konshin-jishin). Faith is one with the fruit of
enlightenment; the fruit of enlightenment is one with faith. If it is not the fruit of enlightenment, faith is not realized. On account of this it is said [in the Mahaprajna-paramita sastra of Nagarjuna] that faith is the entrance to the ocean of the Dharma. Indeed where faith is attained, there is the realization of Buddhas and patriarchs (Shōbōgenzō Sanjushichihon-bodaihumpō, in Kim, 1975:81).

Thus, for Dōgen, faith is not only a prerequisite and accompanying attitude to the practice of zazen, but an "attainment" as well. What begins, perhaps, as a relatively superficial assent to objects of faith, settles ever more deeply into the marrow of the psyche, transforming the will to that radical openness unto Reality wherein faith is no longer a means but an end, the very mark of the aspirant who knows his Principle and lives within Its Will. The praxis that clears the psyche of impediments so that gestating faith, increasingly object free, may descend to its root is, of course, zazen. Kapleau echoes the master's teaching on faith and zazen-only (shikantaza):

...the very foundation of shikan-taza is an unshakable faith that sitting...with mind void of all conceptions...is the actualization...of the inherently enlightened Bodhi-mind with which all are endowed. At the same time, this sitting is entered into in the faith that it will one day culminate in the...direct perception of the true nature of this Mind.... In authentic shikan-taza neither of these two elements of faith can be dispensed with...(Kapleau, 1965:7).
Joining the movement of the will as great faith (dai-shinkon) in Zen practice are two other intentional gestures: great doubt (dai-gidon) and great determination (dai-funshi). Dōgen does not mention these three as triad, but the tradition of doing so goes back at least as far as Hakuin. "A man who lacks any one of these," he says, "is like a three-legged kettle with a broken leg" (Miura & Sasaki, 1965:42-43). In contemporary Zen writing both Shibayama Roshi (1970:40) from the Rinzai tradition and Yasutani Roshi from the Soto (Kapleau, 1965:58-59) make mention of these three elements. If great doubt and great determination complete the tripod of Zen intention, they parallel, at least in structure, the hope and caritas which complete the Christian contemplative intention. The truest parallel to caritas is of course karuna or compassion and a reflection thereon will close this section. First, however, we shall look at great doubt and great determination in relation to hope and caritas, if only to enable these somewhat different species of religious willing to amplify one another.

Yasutani characterizes strong doubt (daigidon) in the following way:

Not a simple doubt, mind you, but a "doubt-mass"—and this inevitably stems from strong faith. It is a doubt as to why the world should appear so imperfect, so full of anxiety...when in fact our deep faith tells us the opposite is true.... It is as though we knew perfectly well we were millionaires and yet inexplicably found ourselves without a penny in our pockets. Strong doubt, therefore, exists in proportion to strong faith (Kapleau, 1965:59).
For John of the Cross, the awakening of faith carries with it the awakening of hope. When I know through faith that even as a sinner I am in essential union with God, that He seeks me and that "He is mine and all for me," I am allowed to hope, unceasingly, for the day in which his Presence will be fully known. This pure hope is the very opposite of phenomenal hopes and serves two purposes in John's scheme. It is both an antidote to vagabond memory that steals us from the present, and it is the burning flame of waiting and spiritual yearning which keeps contemplative stillness from degenerating into lassitude. It is not waiting for a future result so much as an emotive longing which is nevertheless grounded in the stillness of the present.

Zen's great doubt, or "perplexity" is a profound attitude of questioning that arises out of the inevitable paradox generated by the ideas of innate and acquired enlightenment. If this world and myself are in fact of the nature of the Buddha, why must I strive? The negative tone of "great doubt" contrasts rather sharply with John's positively toned "pure hope." Yet the gap seems bridged to some extent by the element of attentive intensity that each seems to engender. The tension arising from the paradox inherent in the great doubt—as well as the similar tension artificially generated in the koan exercise—keeps the fire lit, as it were, under the crucible of contemplative consciousness. When one is filled with the great doubt, one
is effectively prevented from slipping into the contentment accompanying the physiological serenity of deep samadhi. Great doubt may be likened to the red-hot iron ball of Mu (emptiness) which the practitioner is told to imagine he has swallowed and must disgorge.

Moreover, some of John's utterances lead us to believe that his hope is not far different from great doubt even in emotional tone. For since pure hope is kenotic or emptying-hope, it can often appear as yearning generated not positively, but negatively, by a kind of loving despair or great forlornness. Something of this may be glimpsed in John's reflections on hope in two verses of his Spiritual Canticle. On the one hand, John is convinced of his original union with God; on the other, he experiences the dissonance between the real and the apparent. The following verses suggest the same kind of present-centered yearning and perplexity, which, as with Zen's great doubt, add a crucial emotive energy to the contemplative opus:

How do you endure
O Life, not living where you live?
And being brought near death
By the arrows you receive
From that which you conceive of your Beloved (C8).

Why, since You wounded
This heart, don't You heal it?
And why, since You stole it from me,
Do You leave it so,
And fail to carry off what You have stolen? (C9).

John's comment upon the latter stanza is:
For the impatient love here manifested will endure no idleness and allow no rest to the soul in its affliction, but shows its longings in every way until it discovers a remedy (C9,2).

Similarly, Garma Chang says,

When working on Zen, the important thing is to generate the I-chin (doubt sensation).... The greater the doubt, the greater the awakening.... When working on Zen, the worst thing is to become attached to quietness, because this will unknowingly cause you to be engrossed in dead stillness... (Chang, 1959:95).

Thus, it would seem that hope and great doubt may similarly stand for an emotional intensity which exists importantly, albeit mysteriously, in the quietude of contemplation.

The final leg of this three-legged movement of the Zen contemplative will is determination. Hakuin testifies to its importance:

...But even though he has sincere faith, if a man does not bring concentrated doubt to bear...he cannot penetrate [the Fundamental Principle] completely. And, though this ball of doubt be firmly solidified, if it is not succeeded by great tenacity of purpose, it will not be shattered (Sokko-roku kaien fusetsu in Miura and Sasaki, 1965:43).

Tenacity of purpose has of course been an essential element of the Buddhist path from its beginnings. The second of the five cardinal virtues spoken of in Pali scriptures
is vigour. Retaining its status as a cardinal virtue it also became in the embrace of the Mahayana one of the six perfections of the bodhisattva. Conze (1962:48) provides us with the synonym, "determination," which he takes from the Sanskrit adhimoksha, literally, the coming-ness of liberation. If determination and tenacity of purpose reach an apex anywhere in Buddhism it is in Zen where the teacher's strident exhortations regarding the mental effort of his students often take on a militant tone. Yasutani extends Hakuin's description of determination with his own:

From this feeling of doubt, the third essential, strong determination (dai-funshi), naturally arises. It is an overwhelming determination to dispel this doubt with the whole force of our energy and will. Believing with every pore of our being in the truth of the Buddha's teaching that we are all endowed with the immaculate Bodhi-mind, we resolve to discover and experience the reality of this Mind for ourselves (Kapleau, 1965:59).

Does Zen's "determination" bear a likeness to John of the Cross' caritas? To see the resemblance we must recall John's equation between growth in caritas and the emptying of egocentric willfulness. John does not seek to destroy the essential power of human will. In fact, if any 'faculty' provides the energy crucial for the psychotransformative opus, it is the will. The will makes possible the "loving attentiveness" in which non-discursive contemplation proceeds. It moves the intellect in faith and redirects the
memory in hope. Struggling against its own dispersion amid phenomenal objects, the will seeks its source in God.

Thus, when John calls for the denudation of the will, he directs his energies not against the will's essential power but against the egocentric valence which ensnares it. Ignorant of its final cause and lacking awareness of its union with God, the human will is perverted, dispersed. John wishes to turn the will's centrifugal dispersions into centripetal and unitive advances towards its own Source. It is as if John is aware that that which Spinoza called conatus, the essential will of individual being, is the will of God within him. Yet the voice of this ontic Will is drowned out by the clamor of phenomenal desiring and willing. To "empty" the mind of the self-reflexive tendency of phenomenal willing, would be to reveal the essential will of God within him. And one of John's names for the fruit of this realization is caritas.

With characteristic boldness, D. T. Suzuki provides us with a Zen rendition of the dynamic we are here trying to clarify in John of the Cross. Suzuki writes:

The truth is that what involves the totality of human existence is not a matter of intellect but of the will in its most primary sense.... The will...lies at the root of all existence and unites them all in the oneness of being. The one great will from which all these wills, infinitely varied, flow is what I call the...zero-reservoir of infinite possibility. The "Mu" is thus linked to [this ontological Ground] by working on the conative plane of consciousness. The koan
that looks intellectual or dialectical... finally leads one psychologically to the conative center of consciousness and thus to the Source itself (Fromm, et al, 1960: 48,51).

We are now in a position to answer the question regarding the likeness between Zen determination and John of the Cross' caritas. Insofar as each seems to mark the juncture at which the human will begins to know its divine Source, they could be said to play similar roles. But we have tried to show that both sets of three virtues are, at base, profoundly intentional—all three mark this crucial transformation of will. Determination and caritas cannot be uniquely linked on this point. Each shows a certain emotive intensity, but this too is not a strong point of comparison. It seems safe to say that "determination" has no parallel in John's intentional virtues of faith, hope and charity. The element of vigour must be presupposed in all John's metaphors of ascent, struggle and so forth.

The fullness of John's contemplative caritas finds proper reflection only in Buddhist karuna. Compassion is the mother of Zen practice; it gives birth to it and nurtures it. While marking a profound dimension of human emotivity, compassion's deepest meaning is not psychological but ontological. It is "in" and "toward" the compassionate dimension of reality that the individual, fragmentary will is to be transformed. It is not wrong to say that one can 'stir up' compassion. But the deepest experiences of compassion
occur when one is empty enough of self for it to manifest and flow autonomously. The entire contemplative opus toward wisdom, holiness and liberation begins, for Buddhists, with a movement of the heart toward the salvation and happiness of and care for all brother beings. Commenting on a compassionate verse in the first karika of Santideva's Siksasamuccaya, Lama Govinda writes:

Here we come to the heart of the problem and to the chief motive of Buddhist prayer: it is love and compassion, based on the profound knowledge of the essential unity of life and the mutual relationship of all sentient beings (23).

Dōgen takes up the same theme while instructing trainees:

When one awakens to True Wisdom, it means that one is willing to save all living things before one has actually saved oneself.... The Four Wisdoms—charity, tenderness, benevolence and sympathy are the means we have of helping others, and represent the bodhisattva's aspirations.... To behold all beings with the eye of compassion and to speak kindly to them is the meaning of tenderness.... Tenderness can have a revolutionary impact on the mind of man...O you seekers of Enlightenment, meditate deeply upon these teachings and do not make light of them (Shōbōgenzō Shushōgi, in Kennett, 1972:132-34).

Elsewhere in the Shōbōgenzō, Dōgen says:

Within this hall we must love each other and be deeply grateful for the opportunity of possessing a compassionate mind... (Shōbōgenzō Shuryōshingi, in Kennett, 1972:77).
In a Zen community one is constantly reminded of this profoundly intentional context of practice. The four vows of the bodhisattva, a refrain in Zen training repeated four times a day during a sesshin, begin with the vow of compassion:

However innumerable sentient beings are, I vow to save them.

Kennet Roshi’s description of a layman’s arrival to study Zen cites compassion as the “mental attitude to be adopted in daily life, as well as in zazen.” She writes:

When a layman arrives to study, he is taken to the layman’s meditation hall and shown the statue of Kanzeon [the bodhisattva of compassion]. He is told that he must become like Kanzeon.... Kanzeon [is] an image embodying the characteristics of mercy and compassion which the Zen trainee must find within himself...and cultivate to good growth through zazen...(1972:31,30).

Kennet Roshi concludes, "The first duty of a layman in studying Zen is to find and bring forth the heart of compassion" (33).

Buddhist compassion manifests itself in specific acts as well as in a contemplative state of being which reverberates to and embraces the entire expanse of sentient beings. It is the latter, ontological quality of compassion which is often contrasted with the supposed active and
busily heroic quality of Christian love. This contrast does exist, but only when one insists on comparing an esoteric or contemplative aspect of one religion with an exoteric aspect of another. John of the Cross, as contemplative, is well aware of the invitations to pride and the pitfalls of egoic heroism to which untempered Christian 'loving' can lead. In a striking passage, he paints a picture of an ontological caritas and love far more potent and deep than its active counterpart, a love suggestive of the quality which radiates from the Buddhist's empty center:

...when she [the soul] reaches that stage [contemplation] she ought not to occupy herself with other external works and practices which can hinder her...from that loving attention to God...because a little of this pure love is more precious...although the soul appears to be doing nothing, than all those works put together.... Whenever...a soul has some degree of this solitary love, harm would be done...if...people should...occupy her in...active things.... Let those who are very active and who think to encircle the world with their preachings and external works learn...that they would give much more...if they spent even half this time remaining with God in prayer. It is certain that they would do more and with less labour with one work than they now do with a thousand...; for to act in any other way is to hammer vigorously and accomplish little more than nothing...and it may sometimes even do harm (C29,2-4).

Acts of faith, hope and caritas may be the primer to a mature spirituality, but they fail to take significant root and become the pure theological virtues if unaccompanied by
a discipline of prayer whereby the self-willfulness of ordinary consciousness is constantly being undercut and transformed. So too in Zen. Strong faith, strong doubt, great determination and great compassion are each bound to begin as weak voices amid a crowd. Prerequisites to effective zazen all, they nevertheless reach their true depth and strength only in the zazen-centered and zazen-led process of consciousness transformation. Whole in themselves and distinguishable from one another, the contemplative virtues of John of the Cross and Dōgen (Zen) nevertheless bespeak at their most fundamental level a single, ardent intentionality constantly tempered in the well of emptiness: a movement of the will, at once assertive and receptive, toward the realization of its Source. It is in this willed will-lessness, this striving-of-letting-be that John of the Cross and Dōgen seek transformation in their deepest Nature, so as to actualize, from their personal loci within the sentient continuum, the wisdom and love which are that Nature's undying life.